



SMIFS CAPITAL MARKETS LIMITED

January 05, 2022

The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata - 700 001

Sub: Regulation 31 of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

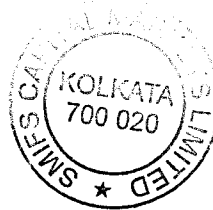
Dear Sir,

We are forwarding the Statement showing Shareholding as per Regulation 31 of the (Listing Obligations & Disclosure Requirements) Regulations, 2015 as on December 31, 2021 for your kind reference and record.

Kindly acknowledge.

Yours faithfully,
For SMIFS Capital Markets Limited

(Poonam Bhatia)
Company Secretary-cum-Senior Manager Legal



Encl: a/a

[Home](#)[Validate](#)[Import XML](#)

General information about company

Scrip code

NSE Symbol

MSEI Symbol

ISIN

Name of the company

Whether company is SME

Class of Security

Type of report

Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)

Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date

Shareholding pattern filed under

Whether the listed entity is Public Sector Undertaking (PSU)?

508905

INE641A01013

SMIFS CAPITAL MARKETS LIMITED

No

Equity Shares

Quarterly

31-12-2021

Regulation 31 (1) (b)

No

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

Poonam

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

Home

Validate

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Certified True Copy

For: SMIFS CAPITAL MARKETS LIMITED

Poonam
(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid- up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		
								No of Voting (XIV) Rights		
								Class eg: X	Class eg:Y	Total
(A)	Promoter & Promoter Group	12	2534051			2534051	45.37	25,34,051.00		2534051.00
(B)	Public	1213	3050949			3050949	54.63	3050949.00		3050949.00
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total	1225	5585000			5585000	100	5585000.00		5585000.00

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

Poonam

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

Total as a % of (A+B+C)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
45.37				45.37					2534051
54.63				54.63					3002809
100.00				100					5536860

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

Poonam Bhatia

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

Home

Validate

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in	
								No of Voting (XIV) Rights	
								Class eg: X	Class eg: Y
A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
(1)	Indian								
(a)	Individuals/Hindu undivided Family	9	784350			784350	14.04	784350.00	
(b)	Central Government/ State Government(s)								
(c)	Financial Institutions/ Banks								
(d)	Any Other (specify)	3	1749701			1749701	31.33	1749701.00	
	Sub-Total (A)(1)	12	2534051			2534051	45.37	2534051.00	
(2)	Foreign								
(a)	Individuals (NonResident Individuals/ Foreign Individuals)								
(b)	Government								
(c)	Institutions								
(d)	Foreign Portfolio Investor								
(e)	Any Other (specify)								
	Sub-Total (A)(2)								
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	12	2534051			2534051	45.37	2534051.00	

Details of Shares which remain unclaimed for Promoter & Promoter Group

B Table III - Statement showing shareholding pattern of the Public shareholder									
Note: Kindly show details of shareholders having more than one percentage of total no of shares. Please refer software manual.									
(1)	Institutions								
(a)	Mutual Funds								
(b)	Venture Capital Funds								
(c)	Alternate Investment Funds								
(d)	Foreign Venture Capital Investors								
(e)	Foreign Portfolio Investors	1	550000			550000	9.85	550000	
(f)	Financial Institutions/ Banks								
(g)	Insurance Companies								
(h)	Provident Funds/ Pension Funds								
(i)	Any Other (specify)								
	Sub-Total (B)(1)	1	550000			550000	9.85	550000.00	
(2)	Central Government/ State Government(s)/ President of India								
	Sub-Total (B)(2)								

Certified True Copy
 For SMIFS CAPITAL MARKETS LIMITED
Poonam
 (POONAM BHATIA)
 COMPANY SECRETARY
 CUM-SENIOR MANAGER LEGAL

(3) Non-Institutions								
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1117	499877		499877	8.95	499877	
	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	10	1027602		1027602	18.40	1027602	
(b)	NBFCs registered with RBI							
(c)	Employee Trusts							
(d)	Overseas Depositories (holding DRs) (balancing figure)							
(e)	Any Other (specify)	85	973470		973470	17.43	973470	
Sub-Total (B)(3)		1212	2500949		2500949	44.78	2500949.00	
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1213	3050949		3050949	54.63	3050949.00	
Details of the shareholders acting as persons in Concert for Public								
Details of Shares which remain unclaimed for Public								
C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
(1)	Custodian/DR Holder / Name of DR Holders (if Available)							
(2)	Employee Benefit Trust under SEBI (Share Based Employee Benefit) Regulations, 2014							
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)								
Total (A+B+C)		1225	5585000		5585000	100.00	5585000.00	
Total (A+B+C)		1225	5585000		5585000	100.00	5585000.00	
Disclosure of notes on shareholding pattern							Add Notes	
Disclosure of notes in case of promoter holding in dematerialised form is less than 100 percentage							Add Notes	
Disclosure of notes in case of public share holding is less than 25 percentage							Add Notes	
Disclosure of notes on shareholding pattern for company remarks explanatory							Add Notes	

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

Poonam

(POONAM BHATTIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

(3) Non-Institutions									
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1117	499877			499877	8.95	499877	
	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	10	1027602			1027602	18.40	1027602	
(b)	NBFCs registered with RBI								
(c)	Employee Trusts								
(d)	Overseas Depositories (holding DRs) (balancing figure)								
(e)	Any Other (specify)	85	973470			973470	17.43	973470	
Sub-Total (B)(3)		1212	2500949			2500949	44.78	2500949.00	
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1213	3050949			3050949	54.63	3050949.00	
Details of the shareholders acting as persons in Concert for Public									
Details of Shares which remain unclaimed for Public									
C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder									
(1)	Custodian/DR Holder - Name of DR holders (if Available)								
(2)	Employee Benefit Trust (under SEBI (Share Based Employee Benefit) Regulations, 2014)								
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)									
Total (A+B+C2)		1225	5585000			5585000	100.00	5585000.00	
Total (A+B+C)		1225	5585000			5585000	100.00	5585000.00	
Disclosure of notes on shareholding pattern								Add Notes	
Disclosure of notes in case of promoter holding in dematerialised form is less than 100 percentage								Add Notes	
Disclosure of notes in case of public share holding is less than 25 percentage								Add Notes	
Disclosure of notes on shareholding pattern for company remarks explanatory								Add Notes	

Certified True Copy
For SMIFS CAPITAL MARKETS LIMITED

Poonam Bhatia

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

each class of securities (IX)		No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (XI)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
Total	Total as a % of Total Voting rights					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
784350	14.04				14.04					784350
1749701	31.33				31.33					1749701
2534051	45.37				45.37					2534051
2534051	45.37				45.37					2534051

550000	9.85				9.85					550000
550000	9.85				9.85					550000

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

P. Bhatia

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

499877	8.95				8.95				460837
1027602	18.40				18.40				1027602
973470	17.43				17.43				964370
2500949	44.78				44.78				2452809
3050949	54.63				54.63				3002809

5585000	100.00				100.00				5536860
5585000	100.00				100.00				5536860

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

P. Bhatia

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

Home

Validate

Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(XI)(a) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type	
						No of Voting (XIV) Rights		Total as a % of Total Voting rights						
						Class eg: X	Total							
A1(G) Total Shares/Units/Options/ Warrants														
Add Delete														
1	Ajay kumar Kayan	AGGPK0892G	400550	400550	7.17	400550.00	400550.00	7.17		7.17	400550		Promoter	
2	Lalita Kayan	AGGPK0893H	154300	154300	2.76	154300.00	154300.00	2.76		2.76	154300		Promoter Group	
3	Nilangi Parekh	AJPPP3852K	123000	123000	2.20	123000.00	123000.00	2.20		2.20	123000		Promoter Group	
4	Utsav Parekh	AGHPP4467H	96200	96200	1.72	96200.00	96200.00	1.72		1.72	96200		Promoter Group	
5	Rahul Kayan	AGAPK0442L	7000	7000	0.13	7000.00	7000.00	0.13		0.13	7000		Promoter Group	
6	Suman Bhartia	AEEP89602B	1500	1500	0.03	1500.00	1500.00	0.03		0.03	1500		Promoter Group	
7	Gauri Shankar Ajay Kumar (HUF)	AABHG5183R	1000	1000	0.02	1000.00	1000.00	0.02		0.02	1000		Promoter Group	
8	Ajay Kumar Kayan	AACHA9009B	700	700	0.01	700.00	700.00	0.01		0.01	700		Promoter Group	
9	Payal Saraf	AFYRK7045N	100	100	0.00	100.00	100.00	0.00		0.00	100		Promoter Group	
Click here to go back			Total	784350	784350	14.04	784350.00	784350.00	14.04		784350			

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

Poonam Bhatia

(POONAM BHATIA)

COMPANY SECRETARY

CUM-SENIOR MANAGER LEGAL

Home		Validate									Number of Voting Rights held in each class of securities		
Searial No.	Category	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	No of Voting (XIV) Rights		Total as a % of Total Voting rights			
								Class eg: X	Total				
At (4) Any Other (V)													
Add		Delete											
1	Bodies Corporate	MACKERTICH CONSULTANCY SERVICES PRIVA	AABCM5680Q	1	1115700	1115700	19.98	1115700.00	1115700.00	19.98			
2	Bodies Corporate	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	AABCP6020F	1	357251	357251	6.40	357251.00	357251.00	6.40			
3	Bodies Corporate	STEWART INVESTMENT AND FINANCIAL PRIVA	AADCS8612C	1	276750	276750	4.96	276750.00	276750.00	4.96			
			Total	3	1749701	1749701	31.33	1749701.00	1749701.00	31.33			
Click here to go back													

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

P Bhunia

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
	19.98	1115700		Promoter
	6.40	357251		Promoter
	4.96	276750		Promoter
	31.33	1749701		

Certified True Copy

For SIMIFS CAPITAL MARKETS LIMITED

P. Bhatia

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

Home

Validate

Searial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
						No of Voting (XIV) Rights		Total as a % of Total Voting rights			
						Class eg: X	Total				
81(a) Foreign Portfolio Investors											
<div>AddDelete</div>		Disclosure of shareholder holding more than 1% of total number of shares									
1	THE INDIAMAN FUND (MAURITIUS) LIMIT	AAACT4855D	550000	550000	9.85	550000.00	550000.00	9.85	9.85	550000	
Click here to go back		Total	550000	550000	9.85	550000.00	550000.00	9.85	9.85	550000	

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED


 (POONAM B. BHATIA)
 COMPANY SECRETARY
 CUM-SENIOR MANAGER LEGAL

Home

Validate

Searial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
						No of Voting (XIV) Rights		Total as a % of Total Voting rights			
						Class eg: X	Total				
53(a)(ii) Individuals - II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs											
<div>AddDelete</div> Disclosure of shareholder holding more than 1% of total number of shares											
1	MINAL BHARAT PATEL	AACPP5126G	323339	323339	5.79	323339.00	323339.00	5.79	5.79	323339	
2	S N RAJAN	ANWPS3969F	216718	216718	3.88	216718.00	216718.00	3.88	3.88	216718	
3	SANGEETHA S	AUJPS3739F	182590	182590	3.27	182590.00	182590.00	3.27	3.27	182590	
4	PUNJABHAI KESHAVBHAI	AEDPK1160L	87711	87711	1.57	87711.00	87711.00	1.57	1.57	87711	
5	RAJESH KUMAR JAIN	AAFPJ6867K	56420	56420	1.01	56420.00	56420.00	1.01	1.01	56420	
Click here to go back			Total	866778	15.52	866778.00	866778.00	15.52	15.52	866778	

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

Poonam Bhargava
(POONAM BHARGAVA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

Home

Validate

Serial No.	Category	Category / More than 1 percentage	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)
B3(e) Any Other (specify)						
<div>Add</div> <div>Delete</div>						
1	IEPF	Category			1	111442
2	Bodies Corporate	Category			42	825681
3	HUF	Category			36	31612
4	Non-Resident Indian (NRI)	Category			5	4230
5	Clearing Members	Category			1	505
					Click here to go back	
					Total	85
						973470

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

16/11/2017

(POONAM BHADRA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
		No of Voting (XIV) Rights		Total as a % of Total Voting rights			
		Class eg: X	Total				

111442	2.00	111442	111442	2.00	2.00	111442	
825681	14.78	825681	825681	14.78	14.78	819581	
31612	0.57	31612	31612	0.57	0.57	31612	
4230	0.08	4230	4230	0.08	0.08	1230	
505	0.01	505	505	0.01	0.01	505	
973470	17.44	973470.00	973470.00	17.44	17.44	964370	

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

Poonam Bhatia

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER (LEGAL)